# Multiple and severe forms of socioeconomic disadvantage and tobacco use: exploring the factors that contribute to smoking amongst clients of community service organisations

Laura Hart Twyman, B Psych (Hons)

Submitted for the Degree of Doctor of Philosophy

Submitted 4<sup>th</sup> September, 2015

School of Medicine and Public Health,

Faculty of Health and Medicine

University of Newcastle.

# **Statement of Originality**

This thesis contains no material which has been accepted for the award of any other degree or diploma in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text. I give consent to this copy of my thesis, when deposited in the University Library \*\*, being made available for loan and photocopying subject to the provisions of the Copyright Act 1986.

\*\*Unless an Embargo has been approved for a determined period.

#### **Statement of Collaboration**

I hereby certify that the work embodied in this thesis has been done in collaboration with other researchers. I have included as part of the thesis a statement clearly outlining the extent of collaboration, with whom and under what auspices.

## **Statement of Authorship**

I hereby certify that the work embodied in this thesis contains published papers/scholarly works of which I am a joint author. I have included as part of the thesis a written statement, endorsed by my supervisor, attesting to my contribution to the joint publications/scholarly work.

# Thesis by Publication

I hereby certify that this thesis is in the form of a series of published papers of which I am a joint author. I have included as part of the thesis a written statement from each co-author, endorsed by the Faculty Assistant Dean (Research Training), attesting to my contribution to the joint publications.

Candidate Signature:	Date: 4/09/2015

#### List of Publications included within this thesis

**Paper One:** Twyman L, Bonevski B, Paul C, Bryant J, West R, Siahpush M, D'Este C, Oldmeadow C, Palazzi K.. What factors are associated with abstinence amongst socioeconomically disadvantaged smokers? A cross sectional survey of smoker characteristics, reasons for quitting, use of quitting support and quitting approach. Under Editorial Review.

**Paper Two:** Twyman L, Bonevski B, Paul C, Bryant J. Perceived barriers to smoking cessation in selected vulnerable groups: a systematic review of the qualitative and quantitative literature. BMJ Open. 2014;4(12):e006414.

**Paper Three:** Twyman L, Bonevski B, Paul C, Bryant J, Oldmeadow C, Palazzi K, Guiallaumier A. What are the most important barriers? A ranking survey of socioeconomically disadvantaged smokers' barriers to stopping smoking. Under Editorial Review.

**Paper Four:** Twyman L, Bonevski B, Paul C, Bryant J, West R, Siahpush M, D'Este C, Oldmeadow C, Palazzi K. Factors associated with concurrent tobacco smoking and heavy alcohol consumption within a socioeconomically disadvantaged Australian sample. Under Editorial Review.

Paper Five: Twyman L, Bonevski B, Paul C, Kay-Lambkin F, Bryant J, Oldmeadow C, Palazzi K, Guillaumier A. A mediation analysis of cannabis use, motivation to quit tobacco and length of previous tobacco quit attempts within an Australian sample of socioeconomically disadvantaged smokers. Under Editorial Review.

Paper Six: Twyman L, Bonevski B, Paul C, Bryant J, Gartner C, Guillaumier A. Electronic cigarettes: awareness, recent use, and attitudes within a sample of socioeconomically disadvantaged Australian smokers. Nicotine & Tobacco Research. In Press.

# **Statement of Contribution: Paper One**

I attest that Research Higher Degree candidate Laura Twyman contributed substantially in terms of study concept and design, data collection and analysis, and preparation of the manuscript entitled:

"What factors are associated with abstinence amongst socioeconomically disadvantaged smokers? A cross sectional survey of smoker characteristics, reasons for quitting, use of quitting support and quitting approach."

#### **Co-authors:**

	28/08/2015
Billie Bonevski	Date
	28/08/2015
Christine Paul	Date
	28/08/2015
Jamie Bryant	Date
	28/08/2015
Robert West	Date
	20/00/2015
Mohammad Siahpush	28/08/2015 Date
Monanimad Stanpush	Date
C.d. : Dir.	28/08/2015
Catherine D'Este	Date
	28/08/2015
Christopher Oldmeadow	Date
	28/08/2015
Kerrin Palazzi	Date
	28/08/2015
Laura Twyman (Candidate)	Date

	31/08/2015
Prof Robert Callister	Date
Assistant Dean Research Training	

# **Statement of Contribution: Paper Two**

I attest that Research Higher Degree candidate Laura Twyman contributed substantially in terms of study concept and design, data collection and analysis, and preparation of the manuscript entitled:

"Perceived barriers to smoking cessation in selected vulnerable groups: A systematic review of the qualitative and quantitative literature."

Co-authors:	
	28/08/2015
Billie Bonevski	Date
	28/08/2015
Christine Paul	Date
	28/08/2015
Jamie Bryant	Date
	28/08/2015
Laura Twyman (Candidate)	Date
	31/08/15
Prof Robert Callister	Date

Assistant Dean Research Training

# **Statement of Contribution: Paper Three**

I attest that Research Higher Degree candidate Laura Twyman contributed substantially in terms of study concept and design, data collection and analysis, and preparation of the manuscript entitled:

"What are the most important barriers? A ranking survey of socioeconomically disadvantaged smokers' barriers to stopping smoking"

Co-authors:	
	28/08/2015
Billie Bonevski	Date
	28/08/2015
Christine Paul	Date
	20/00/2015
Lauria Durant	<u>28/08/2015</u>
Jamie Bryant	Date
	28/08/2015
Christopher Oldmeadow	Date
	20/00/2015
Kerrin Palazzi	
Kerrin Palazzi	Date
	28/08/2015
Ashleigh Guillaumier	Date
	28/08/2015
Laura Twyman (Candidate)	Date
	31/08/2015
Duof Dohaut Calliston	
Prof Robert Callister	Daic

Assistant Dean Research Training

# **Statement of Contribution: Paper Four**

I attest that Research Higher Degree candidate Laura Twyman contributed substantially in terms of study concept and design, data collection and analysis, and preparation of the manuscript entitled:

"Factors associated with concurrent tobacco smoking and heavy alcohol consumption within a socioeconomically disadvantaged Australian sample"

Co-authors:	
Billie Bonevski	28/08/2015 Date
	28/08/2015
Christine Paul	Date
	28/08/2015
Jamie Bryant	Date
	28/08/2015
Robert West	Date
	28/08/2015
Mohammad Siahpush	Date
	28/08/2015
Catherine D'Este	Date
	28/08/2015
Christopher Oldmeadow	Date
	28/08/2015
Kerrin Palazzi	Date
	28/08/2015
Laura Twyman (Candidate)	Date

	31/08/2015
Prof Robert Callister	Date
Assistant Dean Research Training	

I attest that Research Higher Degree candidate Laura Twyman contributed substantially in terms of study concept and design, data collection and analysis, and preparation of the manuscript entitled:

"A mediation analysis of cannabis use, motivation to quit tobacco and length of previous tobacco quit attempts within an Australian sample of socioeconomically disadvantaged smokers"

## **Co-authors:**

	28/08/2015
Billie Bonevski	Date
	28/08/2015
Christine Paul	Date
	20/00/2017
	28/08/2015
Frances Kay-Lambkin	Date
	28/08/2015
Jamie Bryant	Date
21,411	2
	28/08/2015
Christopher Oldmeadow	Date
	28/08/2015
Kerrin Palazzi	Date
	20/00/2017
A -1.1 - 1.1 C1111	28/08/2015
Ashleigh Guillaumier	Date
	28/08/2015
Laura Twyman (Candidate)	Date.

	31/08/15	
Prof Robert Callister	Date	
Assistant Dean Research Training		

# **Statement of Contribution: Paper Six**

I attest that Research Higher Degree candidate Laura Twyman contributed substantially in terms of study concept and design, data collection and analysis, and preparation of the manuscript entitled:

"Electronic cigarettes: awareness, recent use, and attitudes within a sample of socioeconomically disadvantaged Australian smokers"

## **Co-authors:**

	28/08/2015
Billie Bonevski	Date
	28/08/2015
Christine Paul	Date
Christine I dui	Bute
	28/08/2015
Jamie Bryant	Date
	28/08/2015
Coral Gartner	Date
Corai Gartilei	Date
	28/08/2015
Ashleigh Guillaumier	Date
	29/09/2015
Laura Twyman (Candidate)	28/08/2015 Date
Laura Twyman (Candidate)	Date
	31/08/2015
Prof Robert Callister	Date
Assistant Dean Research Training	

# Acknowledgements

There are many people I am grateful to over the course of the past four years. Firstly, I would like to acknowledge my supervisors: Associate Professor Billie Bonevski, Associate Professor Christine Paul and Dr Jamie Bryant. I could not have asked for a wiser or more supportive supervisory team. I am especially grateful to my supervisors for their generosity, patience and inspiration.

My special thanks must also be extended to the two community service organisations who took part in this research for welcoming me and never wavering in their support and enthusiasm for this research. I would also like to acknowledge the participants who gave up their time and effort to participate in the research and without whom the findings presented would not exist. I am also very grateful to project staff, in particular Leah Zsozak, Emma Walter and Gamze Abramov, who provided extremely helpful and practical guidance.

I would like to thank my co-authors on the papers that came from this body of work for their expertise and thoughtful feedback. I would also like to acknowledge my fellow PhD colleagues: Ashleigh and Tonelle, your support and willingness to share your knowledge has been priceless. Kristen, Sam, Eliza and Erin, thank you for your support, encouragement and the endless cups of tea that helped keep me focussed and determined.

To my family and friends, a big thank you for always believing I could do it, even when I had my doubts. Most of all I would like to thank my mum whose steadfast support and love made it possible for me to achieve this and who continues to inspire me every day. And finally, thank you to my furry friend Bella, for always being by my side while I was writing.

# **Table of Contents**

Statement of Originality	i
Statement of Collaboration	i
Statement of Authorship	i
Thesis by Publication	ii
List of Publications within this thesis	iii
Statement of Contribution Paper One	iv
Statement of Contribution Paper Two	vi
Statement of Contribution Paper Three	vii
Statement of Contribution Paper Four	viii
Statement of Contribution Paper Five	X
Statement of Contribution Paper Six	xii
Acknowledgments	xii
List of abbreviations	19
Synopsis	20
1. Introduction	24
1.1 Tobacco related burden of illness and economic costs	25
1.2 Smoking prevalence and the social gradient.	27
1.3 Smoking and socioeconomic disadvantage	31
1.4 Mechanisms behind the association between smoking and socioeconomic disadvantage	39
1.5 Reaching disadvantaged groups for research purposes	47
1.6 Aims of this thesis	55
1.7 References	57
2. Paper One: What factors are associated with abstinence amongst socioeconomically disadvantaged smokers? A cross sectional survey of reasons in the control of the contro	
quitting, use of cessation aids and quitting approach	
2.1 Abstract	77

2.2 Introduction	78
2.3 Methods	80
2.4 Results	84
2.5 Discussion.	92
2.6 References	98
3. Introduction to Paper Two	105
4. Paper Two: Perceived barriers to smoking cessation in selected	vulnerable
groups: a systematic review of the qualitative and quantitative lite	rature106
4.1 Abstract	107
4.2 Introduction	109
4.3 Methods	112
4.4 Results	122
4.5 Discussion.	133
4.6 References	144
5. Introduction to Paper Three	161
5.1 References	162
6. Paper Three: What are the most important barriers? A ranking socioeconomically disadvantaged smokers' barriers to stopping sn	
6.1 Abstract	164
6.2 Introduction	165
6.3 Methods	167
6.4 Results	171
6.5 Discussion.	179
6.6 References	184
7. Introduction to Papers Four and Five	190
7.1 References	192

8. Paper Four: Factors associated with concurrent tobacco smoking alcohol consumption within a socioeconomically disadvantaged Aust sample	ralian
8.1 Abstract	196
8.2 Introduction	197
8.3 Methods.	198
8.4 Results	203
8.5 Discussion.	212
8.6 References.	217
9. Paper Five: A mediation analysis of cannabis use, motivation to quand length of previous quit attempts, within a socioeconomically disasample of smokers.	advantaged
9.1 Abstract	225
9.2 Introduction.	226
9.3 Methods	228
9.4 Results	231
9.5 Discussion.	237
9.6 References.	241
10. Introduction to Paper Six	248
10.1 References	250
11. Paper Six: Electronic cigarettes: awareness, recent use, and attitus sample of socioeconomically disadvantaged Australian smokers	
11.1 Abstract.	253
11.2 Introduction.	254
11.3 Methods.	256
11.4 Results	260
11.5 Discussion.	267
11.6 References	272
12. Discussion and Implications	277

12.1 Summary of key findings	278
12.2 How does this body of research advance existing knowledge?	283
12.3 What should be the key components of cessation interventions for disadvanta smokers?	_
12.4 Do smoking cessation interventions need to be more intensive or integrated?	295
12.5 Addressing the broader social determinants of health: where to start?	297
12.6 Strengths and limitations	298
12.7 Conclusions	299
12.8 References	301
13 Paper One Appendices	309
13.1 Ethics approval	310
13.2 Baseline survey.	314
14 Paper Two Appendices	341
14.1 References of full text articles that were excluded	342
14.2 Summary of included quantitative studies.	366
14.3 Summary of included qualitative studies	371
14.4 Summary of included mixed methods studies	397
14.5 Overview of study characteristics	400
14.6 Quality assessment of qualitative studies.	402
14.7 Quality assessment of quantitative studies.	403
14.8 Detailed results.	405
14.9 Copy of qualitative quality assessment tool	413
14.10 Copy of quantitative quality assessment tool	417
14.11 Published manuscript.	418
15. Paper Three Appendices	435
15.1 Ethics variation approval for barriers survey	436
15.2 Information Statement	438

15.3 Campbelltown Barriers survey	441
15.4 Supplementary file 1: Barriers scale.	454
15.5 Supplementary file 2: Confirmatory factor analysis results	456
16. Paper Four Appendices	459
16.1 Reviewers comments and response to reviewers' comments	460
17. Paper Six Appendices	466
17.1 E-cigarette image	467
17.2 Published manuscript	468

## List of abbreviations

ABS Australian Bureau of Statistics

ACOSS Australian Council of Social Service

ACSS Australian Community Sector Survey

CBT Cognitive Behaviour Therapy

CSO Community Service Organisation

ENDS Electronic Nicotine Delivery System

FTND Fagerstrom Test for Nicotine Dependence

GDP Gross Domestic Product

HSI Heaviness of Smoking Index

ITC-4 International Tobacco Control Four Country Survey

NHS National Health Service

NIH National Institutes of Health

NRT Nicotine Replacement Therapy

NSW New South Wales

PBS Pharmaceutical Benefits Scheme

RA Research Assistant

SDHF Social Determinants of Health Framework

SEIFA Socio-Economic Indexes for Areas

SEP Socioeconomic position

SSS Stop Smoking Services

STS Smoking Toolkit Study

UK United Kingdom

US United States of America

WHO World Health Organization

## **Synopsis**

This thesis aimed to provide a detailed examination of the factors that affect smoking cessation within socioeconomically disadvantaged subgroups. Within this thesis socioeconomically disadvantaged groups were defined as groups who are more likely to experience multiple forms of social, material and financial disadvantage and who are less likely to be represented in epidemiological or population-wide studies. Six Papers are included in this thesis. Papers Two and Six are published and the remaining four papers are currently under editorial review. The results of two quantitative cross-sectional surveys carried out with clients of community service organisations formed the basis of this thesis. Clients of community service organisations represent a subgroup of the population who experience multiple forms of socioeconomic disadvantage.

Paper One provided insight into the factors associated with being an ex-smoker versus a current smoker in a sample of individuals experiencing multiple and severe forms of disadvantage. To the authors' knowledge, no other study has examined the sociodemographic differences between disadvantaged current smokers and ex-smokers. Overall, ex-smokers appeared to exhibit less socioeconomic disadvantage relative than current smokers. Ex-smokers were also less likely to report using cessation aids and more likely to have abruptly quit smoking during their last quit attempt.

The systematic review presented in Paper Two systematically synthesised and compared the perceived barriers to smoking cessation across six disadvantaged groups: low income, Indigenous people, people with mental illness, people experiencing homelessness, prisoners and at risk youth. This paper provided new knowledge about the barriers to smoking cessation identified by disadvantaged smokers that were common across six selected socioeconomically disadvantaged groups. Smoking in order to manage stress, high prevalence and perceived acceptability of smoking and lack of

support from health and other professionals are the three common barriers reported. The review also identified unique barriers that were specific to disadvantaged groups that should be considered when designing interventions for example, ceremonial and traditional significance of tobacco use in certain Indigenous communities.

Paper Three extended the existing literature about the barriers to quitting by using a valid and reliable measure to identify the most important barriers to smoking cessation experienced within a socioeconomically disadvantaged sample of smokers. To date, no other study has clarified disadvantaged smokers' perspectives on the prioritisation of barriers with a view to maximising smoking cessation success.

Addiction, stress management and enjoyment were the top three barriers ranked as most important to address before cessation could occur. Barriers rated as large by more than a third of the sample included addiction, stress management, anxiety or depression management, relaxation, being unable to manage withdrawal symptoms and stressful life events.

It is important to examine use of alcohol and tobacco in disadvantaged groups because use of both substances compounds the negative health effects associated use of either substance alone, and because heavy alcohol use compromises smokers' ability to maintain smoking cessation. Paper Four extended the literature by examining the characteristics of individuals with different alcohol and tobacco use profiles compared to individuals who were neither heavy drinkers nor tobacco users. The comparison of different alcohol and tobacco use profiles with neither smokers nor heavy drinkers was a novel feature of this study and allowed the identification of potential factors that promote heavy drinking and tobacco use within disadvantaged groups. People who were concurrent heavy alcohol and tobacco users were more likely to experience more

isolated living conditions and financial stress than individuals who were neither heavy alcohol users nor tobacco users.

Similarly, examining the use of cannabis by disadvantaged smokers is important to consider, as cannabis use also compromises smokers' ability to maintain smoking cessation. Paper Five provided new information on the prevalence of co-occurring cannabis and tobacco use in disadvantaged groups (including simultaneous use and the way cannabis may impact on cessation attempts. While there is a literature base surrounding the effects of cannabis use on smoking cessation, the literature provides limited guidance regarding the proposed mechanisms through which cannabis effects smoking cessation. Regular cannabis use was associated with decreased motivation to quit tobacco smoking and shortened length of previous quit attempt in a sample of socioeconomically disadvantaged smokers. Lower motivation to quit tobacco significantly mediated the association between regular cannabis use and shorter quit attempts.

Paper Six explored the new and topical area of electronic nicotine delivery systems (ENDS; also known as electronic cigarettes) which may present opportunities for disadvantaged smokers interested in quitting or reducing their tobacco use. The Paper assessed awareness and use of electronic cigarettes amongst socioeconomically disadvantaged smokers in Australia, where electronic cigarettes containing nicotine are restricted. At the time of publication, only two other studies had assessed electronic cigarette use in disadvantaged groups in high income countries, and neither of these studies were carried out in Australia. Levels of awareness and use were comparable to levels in the Australian general population. Higher motivation to quit tobacco and use of e-cigarettes in the past 12 months is associated with perceiving e-cigarettes as effective aids to quit smoking and as a safer product compared to traditional cigarettes.

In summary, this thesis provided new knowledge of the factors that affect smoking cessation within a subgroup of the population (clients of CSOs) who experience multiple and severe forms of socioeconomic disadvantage. The findings within this thesis suggest that there are multiple influences that affect smoking cessation that need to be addressed through individual and broader community and social network interventions. These include high levels of social and economic disadvantage, low levels of use of evidence based methods to quit, multiple and severe barriers to cessation at the individual, community and socio-economic level, and high levels of concurrent risky alcohol use and cannabis use. This thesis highlights the complexity of addressing smoking and cessation and the fundamental requirements for interventions to effectively aid quitting in groups experiencing multiple and severe forms of disadvantage.